

4440 Department of Mental Health

The California Department of Mental Health, leads the state's mental health system, ensuring the availability and accessibility of effective, efficient, and culturally competent services. Advocacy, education, innovation, outreach, understanding, oversight, monitoring, quality improvement, and the provision of direct services accomplish this mission.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Department of Mental Health's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

	Positions			Expenditures		
	2006-07	2007-08	2008-09	2006-07*	2007-08*	2008-09*
10 Community Services	209.9	286.5	296.5	\$2,293,290	\$3,651,457	\$3,840,491
20 Long-Term Care Services	8,599.0	10,444.0	10,981.1	1,034,084	1,234,413	1,312,972
35.01 Administration	130.4	178.0	184.0	21,077	21,403	20,485
35.02 Distributed Administration	-	-	-	-21,077	-21,403	-20,485
98 State-Mandated Local Programs	-	-	-	58,340	-	-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	8,939.3	10,908.5	11,461.6	\$3,385,714	\$4,885,870	\$5,153,463
FUNDING				2006-07*	2007-08*	2008-09*
0001 General Fund				\$1,841,239	\$1,946,195	\$2,152,794
0001 General Fund, Proposition 98				13,013	18,400	15,000
0311 Traumatic Brain Injury Fund				1,167	1,157	1,165
0814 California State Lottery Education Fund				94	106	106
0890 Federal Trust Fund				61,972	63,334	63,336
0995 Reimbursements				1,098,871	1,326,667	1,393,515
3085 Mental Health Services Fund				369,001	1,529,655	1,527,155
3099 Licensing and Certification Fund, Mental Health				357	356	392
TOTALS, EXPENDITURES, ALL FUNDS				\$3,385,714	\$4,885,870	\$5,153,463

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Welfare and Institutions Code, Divisions 4-8 (commencing with Section 4000).

MAJOR PROGRAM CHANGES

- The Forensic Conditional Release Program (CONREP) mandates responsibility to the DMH for outpatient treatment and supervision of judicially committed patients including Mentally Disordered Offenders, individuals found Not Guilty By Reason of Insanity, Mentally Disordered Sex Offenders, and Sexually Violent Predators (SVPs). The Governor's Budget includes \$1.8 million General Fund for 2008-09 to provide an incremental 4-percent rate increase to cover the cost of clinical care incurred by county providers and to fund an estimated increase of 4 SVPs into the program.
- Since June 2, 2006, California's State Hospitals have operated under a Consent Judgment with the US Department of Justice (USDOJ) in order to address deficiencies in patient treatment and care which were deemed to be violations of the Civil Rights of Institutionalized Persons Act (CRIPA). In order to ensure that the state hospitals meet the wide-ranging treatment and performance requirements of the Consent Judgment, the Governor's Budget includes an increase of \$5.2 million General Fund and 26.6 positions for 2008-09. These additional resources include positions dedicated to monitoring hospital compliance and to the continued implementation of the Wellness and Recovery Model Support System project, an expansive information technology network that will ensure state hospital compliance with CRIPA.
- The Governor's Budget includes a \$52 million General Fund increase to fully fund the AB 3632 Special Education Pupils Program, which transferred responsibility for providing mental health services to special education pupils from school districts to county mental health departments. AB 3632 services include psychotherapy; collateral services; medication monitoring; intensive day treatment, day rehabilitation, and case management.

BUDGET-BALANCING REDUCTIONS

- The Budget includes General Fund reductions of \$17.5 million and 4.2 positions in 2007-08 and \$76.8 million and 12.8 positions in 2008-09.

* Dollars in thousands, except in Salary Range.

4440 Department of Mental Health - Continued

- The following programs totaling \$1.4 billion, have been exempted from the budget balancing reductions. The DMH's Long-Term Care Services Program, totaling \$1.3 billion, which includes the state hospitals, CONREP, and SVP evaluations, is exempt from the budget balancing reductions because the required level of savings could not be achieved without releasing dangerous individuals or hindering compliance with the CRIPA. In addition, the AB 3632 Special Education Pupils Program, totaling \$104 million, is exempt because funding this mandate at less than the full funding level would result in suspension of the mandate.

- The major budget balancing reductions include:

A decrease of \$8.2 million in 2007-08 and \$23.8 million in 2008-09 for managed care primarily by eliminating the annual cost-of-living increase and reducing the non-inpatient State Maximum Allowance (SMA).

A decrease of \$6.7 million in 2007-08 and \$46.3 million in 2008-09 for the Early and Periodic Screening, Diagnosis, and Treatment program (EPSDT). The savings would be achieved by requiring prior authorization by mental health providers for EPSDT day services that exceed six months, eliminating the annual cost-of-living increase, and reducing the non-inpatient SMA.

DETAILED BUDGET ADJUSTMENTS

	2007-08*			2008-09*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Baseline Adjustment Descriptions						
• Employee Comp. Adjustments	\$57,599	\$4,537	-	\$59,995	\$4,910	-
• Fully Fund the AB 3632 Mental Health Services Mandates	-	-	-	52,000	-	-
• Funding Adjustment for EPSDT Services	-3,610	-1,916	-	51,404	54,033	-
• Full Year Position Adjustment for State Hospitals	-	-	-	49,614	-	266.3
• State Hospital Population Adjustments	-7,381	-	-84.7	16,744	-	172.2
• San Mateo Pharmacy and Laboratory Services Program Adjustments	-	-1,607	-	5,599	-1,469	-
• Mental Health Managed Care Adjustments	-	-	-	4,021	4,006	-
• Sexually Violent Predator Evaluations	-	-	-	3,037	-	-
• Treatment Enhancement in Compliance with CRIPA	-	-	-	2,930	-	11.4
• Price Increase	-2,398	-	-	2,609	977	-
• Treatment Enhancement and Performance Improvement in Compliance with CRIPA	-	-	-	2,215	-	15.2
• Lease Revenue Debt Service Adj.	356	-	-	971	-	-
• Implementation of SB 785 - Foster Children Specialty Mental Health Services	-	-	-	94	94	1.9
• Healthy Families Program Adjustments	-109	-2,472	-	76	3,563	-
• Other Baseline Adjustments	-284	-29	40.3	-284	407	41.7
• One Time Cost Reductions	-	-	-	-2,046	-3,613	-
• Sec. 3.60 PERS Rate Adjustment	-2,203	-290	-	-2,203	-290	-
• Prop 98 Adjustment for MSH School Closure	-	-	-	-3,400	-	-
Totals, Baseline Adjustments	\$41,970	-\$1,777	-44.4	\$243,376	\$62,618	508.7
Policy Adjustment Descriptions						
• Incremental Funding Increase for Conditional Release Program (CONREP)	\$-	\$-	-	\$1,792	\$-	-
Totals, Policy Adjustments	\$-	\$-	-	\$1,792	\$-	-
TOTALS, BUDGET ADJUSTMENTS	\$41,970	-\$1,777	-44.4	\$245,168	\$62,618	508.7
Other Adjustments ¹¹						
• Budget-Balancing Reductions	-17,492	-7,700	-4.2	-76,843	-71,500	-12.8
REVISED TOTALS, BUDGET ADJUSTMENTS	\$24,478	-\$9,477	-48.6	\$168,325	-\$8,882	495.9

¹¹ These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

4440 Department of Mental Health - Continued

State Hospital In-Hospital Population

State Hospital	Last Wednesday of Fiscal Year					Average (Two Year Average)				
	Observed	Observed	Observed	Estimated	Estimated	Observed	Observed	Observed	Estimated	Estimated
	6-29-05	6-28-06	6-27-07	6-25-08	6-24-09	04-05	05-06	06-07	07-08	08-09
Atascadero										
LPS	7	6	5	7	7	5	7	6	6	7
PC ¹	626	806	778	885	995	627	716	792	832	940
Other ²	695	423	155	352	352	671	559	289	254	352
Total	1,328	1,235	938	1,244	1,354	1,303	1,282	1,087	1,091	1,292
Metropolitan										
LPS	253	242	239	228	228	263	248	241	234	228
PC ¹	414	428	417	416	416	390	421	423	417	416
Other ²	23	16	17	37	37	23	20	17	27	37
Total	690	686	673	681	681	676	688	680	677	681
Napa										
LPS	197	199	197	215	215	205	198	198	206	215
PC ¹	902	915	911	928	928	885	910	913	920	928
Other ²	47	65	46	52	52	49	56	56	49	52
Total	1,146	1,179	1,154	1,195	1,195	1,139	1,164	1,167	1,175	1,195
Patton										
LPS	83	96	95	92	92	85	90	96	94	92
PC ¹	1,328	1,310	1,340	1,344	1,344	1,275	1,319	1,325	1,342	1,344
Other ²	91	82	64	89	89	98	87	73	77	89
Total	1,502	1,488	1,499	1,525	1,525	1,458	1,495	1,494	1,512	1,525
Vacaville										
Other ²	246	257	266	300	300	230	252	262	283	300
Total	246	257	266	300	300	230	252	262	283	300
Salinas Valley										
PC		1	1			0				
Other ²	47	70	140	136	136	53	59	105	138	136
Total	47	71	141	136	136	53	59	105	138	136
Coalinga										
PC ¹	-		-	66	66	-	-	-	33	66
Other ²	-	333	613	831	1,191	-	167	473	722	1,011
Total	-	333	613	897	1,257	-	167	473	755	1,077
Total										
LPS	540	543	536	542	542	558	542	540	539	542
PC ¹	3,270	3,460	3,447	3,639	3,749	3,177	3,366	3,453	3,543	3,694
Other ²	1,149	1,246	1,301	1,797	2,157	1,124	1,198	1,274	1,549	1,977
Total	4,959	5,249	5,284	5,978	6,448	4,859	5,106	5,266	5,631	6,213

Acronyms Used Above: Lanterman-Petris-Short (LPS) and Penal Code (PC)

¹ Includes Not Guilty by Reason of Insanity, Incompetent to Stand Trial, and Mentally Disordered Offender patients

² Includes Penal Code 2684/Penal Code 2974, Welfare and Institutions Code 1756, Other Penal Code and Sexually Violent Predator patients

4440 Department of Mental Health - Continued

PROGRAM DESCRIPTIONS (Program Objectives Statement)

10 - COMMUNITY SERVICES

The Community Services Program coordinates delivery of mental health treatment and support services. Community Services sets overall policy for the delivery of mental health services statewide; develops and oversees performance contracts with county mental health departments; monitors compliance with state and federal statutes; and administers various state-funded programs and projects. Funding assists counties in providing a broad array of mental health treatment and rehabilitative services in a local setting that promotes recovery and integration into the community for clients with mental illness and children and youth with serious emotional disturbance. Producing measurable outcomes is essential to the success of the Community Services Program. This includes consumer satisfaction strategies and enhancing cost-effectiveness by improving clinical efficacy, implementing recovery principles, and valuing a coordinated services approach to serving children, youth, adults, and older adults.

Community Services also provides statewide leadership and oversight for implementation of the Mental Health Services Act, which funds various local programs that expand community mental health services to children, youth, adults and older adults who have severe mental illnesses. Efforts include:

- Expand recovery and resiliency mental health services to children, youth, adults and older adults who have severe mental illnesses.
- Implement the Prevention and Early Intervention program to reduce stigma, conduct outreach on recognizing early signs of mental illness, and reduce negative mental health outcomes such as suicide, incarceration, homelessness, school failure, unemployment and foster care.
- Develop innovative programs to improve access to high-quality mental health services.
- Expand capital facilities and address technology needs.
- Recruit, retain, and train additional staff to provide mental health services.

20 - LONG-TERM CARE SERVICES

The Long-Term Care Services Program administers the California state hospital system, the Forensic Conditional Release Program (CONREP), the Sex Offender Commitment Program, and the treatment and evaluation of judicially and civilly committed and voluntary patients. The state hospital system includes five state hospitals: Atascadero, Metropolitan, Napa, Patton and Coalinga. In addition, this program includes two inpatient psychiatric programs, one at the California Medical Facility in Vacaville and one at Salinas Valley State Prison, which provide treatment services to California Department of Corrections and Rehabilitation (CDCR) inmates. This program also provides services to juvenile justice wards of CDCR at the Southern Youth Correctional Treatment Center in Norwalk.

35 - DEPARTMENTAL ADMINISTRATION

Departmental Administration provides an array of services to support the Community Services and Long-Term Care Services Programs in meeting their objectives. Services provided include: budgeting, accounting, contracts and procurement, fiscal systems, information technology, personnel, labor relations, business services, local program financial support, and Health Insurance Portability and Accountability Act compliance.

DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

		2006-07*	2007-08*	2008-09*
PROGRAM REQUIREMENTS				
10	COMMUNITY SERVICES			
	State Operations:			
0001	General Fund	\$61,527	\$63,212	\$71,629
0311	Traumatic Brain Injury Fund	79	115	115
0890	Federal Trust Fund	2,709	3,877	3,879
0995	Reimbursements	23,307	22,286	22,410
3085	Mental Health Services Fund	16,928	36,255	33,755
3099	Licensing and Certification Fund, Mental Health	357	356	392
	Totals, State Operations	\$104,907	\$126,101	\$132,180
	Local Assistance:			
0001	General Fund	\$775,161	\$773,108	\$889,927
0311	Traumatic Brain Injury Fund	1,088	1,042	1,050
0890	Federal Trust Fund	59,263	59,457	59,457
0995	Reimbursements	1,000,798	1,198,349	1,264,477
3085	Mental Health Services Fund	352,073	1,493,400	1,493,400
	Totals, Local Assistance	\$2,188,383	\$3,525,356	\$3,708,311
ELEMENT REQUIREMENTS				

* Dollars in thousands, except in Salary Range.

4440 Department of Mental Health - Continued

	2006-07*	2007-08*	2008-09*
10.25 Community Services - Other Treatment	\$1,572,051	\$2,677,975	\$2,755,344
State Operations:			
0001 General Fund	61,527	63,212	71,629
0311 Traumatic Brain Injury Fund	79	115	115
0890 Federal Trust Fund	2,709	3,877	3,879
0995 Reimbursements	23,307	22,286	22,410
3085 Mental Health Services Fund	16,928	36,255	33,755
3099 Licensing and Certification Fund, Mental Health	357	356	392
Local Assistance:			
0001 General Fund	362,011	293,553	358,783
0890 Federal Trust Fund	51,963	52,075	52,075
0995 Reimbursements	701,097	712,846	718,906
3085 Mental Health Services Fund	352,073	1,493,400	1,493,400
10.30 Early and Periodic Screening Diagnosis and Treatment	\$671,255	\$911,278	\$1,016,715
Local Assistance:			
0001 General Fund	380,628	450,432	501,836
0995 Reimbursements	290,627	460,846	514,879
10.35 Early Mental Health Initiative Program	\$10,000	\$15,000	\$15,000
Local Assistance:			
0001 General Fund	10,000	15,000	15,000
10.47 Children's Mental Health Services	\$350	\$350	\$350
Local Assistance:			
0001 General Fund	350	350	350
10.75 Homeless Mentally Disabled	\$7,300	\$7,382	\$7,382
Local Assistance:			
0890 Federal Trust Fund	7,300	7,382	7,382
10.77 Brain Damaged Adults	\$11,747	\$11,747	\$11,747
Local Assistance:			
0001 General Fund	11,747	11,747	11,747
10.85 AIDS	\$1,500	\$1,500	\$1,500
Local Assistance:			
0001 General Fund	1,500	1,500	1,500
10.87 Traumatic Brain Injury Project	\$1,237	\$1,191	\$1,199
Local Assistance:			
0311 Traumatic Brain Injury Fund	1,088	1,042	1,050
0995 Reimbursements	149	149	149
10.97 Healthy Families	\$17,850	\$25,034	\$31,254
Local Assistance:			
0001 General Fund	8,925	526	711
0995 Reimbursements	8,925	24,508	30,543
PROGRAM REQUIREMENTS			
20 LONG-TERM CARE SERVICES			
State Operations:			
0001 General Fund	\$959,224	\$1,128,275	\$1,206,238
0814 California State Lottery Education Fund	94	106	106
0995 Reimbursements	74,766	106,032	106,628
Totals, State Operations	\$1,034,084	\$1,234,413	\$1,312,972
ELEMENT REQUIREMENTS			

* Dollars in thousands, except in Salary Range.

4440 Department of Mental Health - Continued

	2006-07*	2007-08*	2008-09*
20.10 Lanterman-Petris-Short	\$75,652	\$91,410	\$88,606
State Operations:			
0001 General Fund	3,873	13,178	9,778
0814 California State Lottery Education Fund	94	106	106
0995 Reimbursements	71,685	78,126	78,722
20.20 Penal Code and Judicially Committed	\$805,908	\$997,985	\$1,076,751
State Operations:			
0001 General Fund	805,908	973,485	1,052,251
0995 Reimbursements	-	24,500	24,500
20.25 Civil Rights of Institutionalized Persons Act Implementation	\$29,961	\$-	\$-
State Operations:			
0001 General Fund	29,961	-	-
20.30 Department of Corrections and Rehabilitation	\$96,802	\$117,472	\$118,106
State Operations:			
0001 General Fund	96,802	117,472	118,106
20.40 Other Long-Term Care Services	\$3,081	\$3,406	\$3,406
State Operations:			
0995 Reimbursements	3,081	3,406	3,406
20.70 Conditional Release Program	\$22,680	\$24,140	\$26,103
State Operations:			
0001 General Fund	22,680	24,140	26,103
PROGRAM REQUIREMENTS			
98 STATE-MANDATED LOCAL PROGRAMS			
Local Assistance:			
0001 General Fund	\$58,340	\$-	\$-
Totals, Local Assistance	\$58,340	\$-	\$-
Ch. 1747/84--Services to Handicapped Students and	58,340	-	-
Ch. 654/96--Seriously Emotionally Disturbed Pupils			
TOTALS, EXPENDITURES			
State Operations	1,138,991	1,360,514	1,445,152
Local Assistance	2,246,723	3,525,356	3,708,311
Totals, Expenditures	\$3,385,714	\$4,885,870	\$5,153,463

EXPENDITURES BY CATEGORY (Summary By Object)

1 State Operations	Positions			Expenditures		
	2006-07	2007-08	2008-09	2006-07*	2007-08*	2008-09*
Headquarters						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	386.2	576.6	576.4	\$24,501	\$34,642	\$35,463
Total Adjustments	-	-	20.0	-	974	2,622
Estimated Salary Savings	-	-28.3	-29.3	-	-1,035	-1,938
Net Totals, Salaries and Wages	386.2	548.3	567.1	\$24,501	\$34,581	\$36,147
Staff Benefits	-	-	-	7,997	11,631	12,286
Totals, Personal Services	386.2	548.3	567.1	\$32,498	\$46,212	\$48,433
OPERATING EXPENSES AND EQUIPMENT						
				\$124,365	\$104,030	\$109,852
TOTALS, POSITIONS AND EXPENDITURES (Headquarters)				\$156,863	\$150,242	\$158,285

* Dollars in thousands, except in Salary Range.

4440 Department of Mental Health - Continued

1 State Operations	Positions			Expenditures		
	2006-07	2007-08	2008-09	2006-07*	2007-08*	2008-09*
State Hospitals						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	8,553.1	11,216.0	11,494.9	\$567,637	\$673,549	\$699,835
Total Adjustments	-	-89.3	191.3	-	118,555	150,770
Estimated Salary Savings	-	-766.5	-791.7	-	-39,982	-42,555
Net Totals, Salaries and Wages	8,553.1	10,360.2	10,894.5	\$567,637	\$752,122	\$808,050
Staff Benefits	-	-	-	198,854	268,610	289,560
Totals, Personal Services	8,553.1	10,360.2	10,894.5	\$766,491	\$1,020,732	\$1,097,610
OPERATING EXPENSES AND EQUIPMENT				\$176,824	\$149,811	\$148,913
SPECIAL ITEMS OF EXPENSE						
Lease Payment				\$38,776	\$39,573	\$40,182
Bond Insurance				37	156	162
Totals, Special Items of Expense				\$38,813	\$39,729	\$40,344
TOTALS, POSITIONS AND EXPENDITURES (State Hospitals)				\$982,128	\$1,210,272	\$1,286,867
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				8,939.3	10,908.5	11,461.6
2 Local Assistance				Expenditures		
				2006-07*	2007-08*	2008-09*
Community Services - Other Treatment				\$1,025,141	\$1,058,473	\$1,124,237
Early and Periodic Screening, Diagnosis and Treatment				761,255	911,279	1,022,242
Early Mental Health Initiative Program				10,000	15,000	15,000
Children's Mental Health Services				350	350	350
Homeless Mentally Disabled				7,300	7,382	7,382
Brain Damaged Adults				11,747	11,747	11,747
AIDS				1,500	1,500	1,500
Traumatic Brain Injury Projects				1,167	1,191	1,199
Healthy Families				17,850	25,034	31,254
Mental Health Services Fund				352,073	1,493,400	1,493,400
State Mandates Claims Fund				58,340	-	-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)				\$2,246,723	\$3,525,356	\$3,708,311

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

1 STATE OPERATIONS	2006-07*	2007-08*	2008-09*
0001 General Fund, Proposition 98			
APPROPRIATIONS			
012 Budget Act appropriation	\$3,400	\$3,400	\$-
Totals Available	\$3,400	\$3,400	\$-
Unexpended balance, estimated savings	-387	-	-
TOTALS, EXPENDITURES	\$3,013	\$3,400	\$-
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (Headquarters)	\$35,445	\$61,920	\$70,472
Allocation for employee compensation	1,710	900	-
Allocation for contingencies or emergencies	3,212	-	-
Adjustment per Section 3.60	109	-117	-
Adjustment per Section 4.04	-	-353	-

* Dollars in thousands, except in Salary Range.

4440 Department of Mental Health - Continued

1 STATE OPERATIONS	2006-07*	2007-08*	2008-09*
Adjustment per Section 4.75 Statewide Surcharge	201	-	-
Adjustment per Section 15.25	-	-284	-
003 Budget Act appropriation	38,688	14,873	15,844
Adjustment per Section 4.30 (Lease-Revenue)	426	356	-
011 Budget Act appropriation (State Hospitals)	836,976	-	-
Allocation for employee compensation	65,211	-	-
Deficiency from special appropriations bill	2,584	-	-
Adjustment per Section 3.60	2,664	-	-
011 Budget Act appropriation, as amended by Chapter 172, Statutes of 2007 (State Hospitals)	-	1,039,502	-
Allocation for employee compensation	-	56,698	-
Adjustment per Section 3.60	-	-2,086	-
Adjustment per Section 4.04	-	-1,727	-
011 Budget Act appropriation (State Hospitals)	-	-	1,163,791
016 Budget Act appropriation	22,679	24,446	26,103
Adjustment per Section 4.04	-	-306	-
017 Budget Act appropriation	1,100	1,113	1,112
Adjustment per Section 3.60	1	-	-
Adjustment per Section 4.04	-	-12	-
Welfare and Institutions Code Section 4094	45	45	45
Welfare and Institutions Code Section 4112(b)	490	500	500
Chapter 8, Statutes of 2007	12,532	-	-
Chapter 217, Statutes of 2004 CCPOA Arbitration Settlement	843	-	-
Prior year balances available:			
Item 4440-011-0001, Budget Act of 2005 as reappropriated by Item 4440-491, Budget Act of 2006	330	-	-
Totals Available	\$1,025,246	\$1,195,468	\$1,277,867
Unexpended balance, estimated savings	-7,508	-7,381	-
TOTALS, EXPENDITURES	\$1,017,738	\$1,188,087	\$1,277,867
TOTALS, GENERAL FUND EXPENDITURES	\$1,020,751	\$1,191,487	\$1,277,867
0311 Traumatic Brain Injury Fund			
APPROPRIATIONS			
001 Budget Act appropriation (Headquarters)	\$119	\$115	\$115
Allocation for employee compensation	3	-	-
Adjustment per Section 4.75 Statewide Surcharge	1	-	-
Totals Available	\$123	\$115	\$115
Unexpended balance, estimated savings	-44	-	-
TOTALS, EXPENDITURES	\$79	\$115	\$115
0814 California State Lottery Education Fund			
APPROPRIATIONS			
Government Code Section 8880.5	\$94	\$106	\$106
TOTALS, EXPENDITURES	\$94	\$106	\$106
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,742	\$3,877	\$3,879
Allocation for employee compensation	90	-	-
Adjustment per Section 3.60	13	-	-
Adjustment per Section 4.75 Statewide Surcharge	-10	-	-
Budget Adjustment	-1,126	-	-
TOTALS, EXPENDITURES	\$2,709	\$3,877	\$3,879

* Dollars in thousands, except in Salary Range.

4440 Department of Mental Health - Continued

1 STATE OPERATIONS	2006-07*	2007-08*	2008-09*
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$98,073	\$128,318	\$129,038
3085 Mental Health Services Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$20,772	\$35,963	\$33,755
Allocation for employee compensation	456	323	-
Adjustment per Section 3.60	64	-	-
Adjustment per Section 4.75 Statewide Surcharge	118	-	-
Adjustment per Section 15.25	-	-31	-
Totals Available	\$21,410	\$36,255	\$33,755
Unexpended balance, estimated savings	-4,482	-	-
TOTALS, EXPENDITURES	\$16,928	\$36,255	\$33,755
3099 Licensing and Certification Fund, Mental Health			
APPROPRIATIONS			
001 Budget Act appropriation	\$355	\$357	\$392
Adjustment per Section 4.75 Statewide Surcharge	2	-	-
Totals Available	\$357	\$357	\$392
Unexpended balance, estimated savings	-	-1	-
TOTALS, EXPENDITURES	\$357	\$356	\$392
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,138,991	\$1,360,514	\$1,445,152
2 LOCAL ASSISTANCE	2006-07*	2007-08*	2008-09*
0001 General Fund, Proposition 98			
APPROPRIATIONS			
102 Budget Act appropriation (Early Mental Health Initiative)	\$10,000	\$15,000	\$15,000
TOTALS, EXPENDITURES	\$10,000	\$15,000	\$15,000
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$410,711	\$463,873	\$520,952
Deficiency from special appropriations bill	59,727	-	-
103 Budget Act appropriation (Mental Health Managed Care)	230,976	-	-
103 Budget Act appropriation, as amended by Chapter 172, Statutes of 2007 (Mental Health Managed Care)	-	234,207	-
103 Budget Act appropriation (Mental Health Managed Care)	-	-	238,228
104 Budget Act appropriation	52,000	52,000	104,000
111 Budget Act appropriation (Brain Damaged Adults)	11,747	11,747	11,747
115 Budget Act appropriation, as amended by Chapter 172, Statutes of 2007	-	86,679	-
115 Budget Act appropriation	-	-	86,679
295 Budget Act appropriation (State Mandates)	66,000	-	-
Prior Year Payment (EPSDT)	-	-86,679	-86,679
Totals Available	\$831,161	\$761,827	\$874,927
Unexpended balance, estimated savings	-7,660	-3,719	-
TOTALS, EXPENDITURES	\$823,501	\$758,108	\$874,927
TOTALS, GENERAL FUND EXPENDITURES	\$833,501	\$773,108	\$889,927
0311 Traumatic Brain Injury Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,088	\$1,050	\$1,050
Totals Available	\$1,088	\$1,050	\$1,050

* Dollars in thousands, except in Salary Range.

4440 Department of Mental Health - Continued

2 LOCAL ASSISTANCE	2006-07*	2007-08*	2008-09*
Unexpended balance, estimated savings	-	-8	-
TOTALS, EXPENDITURES	\$1,088	\$1,042	\$1,050
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$59,457	\$59,457	\$59,457
Budget Adjustment	-194	-	-
TOTALS, EXPENDITURES	\$59,263	\$59,457	\$59,457
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,000,798	\$1,198,349	\$1,264,477
3085 Mental Health Services Fund			
APPROPRIATIONS			
Welfare and Institutions Code Section 5890	\$352,073	\$1,493,400	\$1,493,400
TOTALS, EXPENDITURES	\$352,073	\$1,493,400	\$1,493,400
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$2,246,723	\$3,525,356	\$3,708,311
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$3,385,714	\$4,885,870	\$5,153,463

FUND CONDITION STATEMENTS

	2006-07*	2007-08*	2008-09*
0311 Traumatic Brain Injury Fund ^s			
BEGINNING BALANCE	\$348	\$77	\$34
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
130700 Penalties on Traffic Violations	896	1,114	1,131
Total Revenues, Transfers, and Other Adjustments	<u>\$896</u>	<u>\$1,114</u>	<u>\$1,131</u>
Total Resources	\$1,244	\$1,191	\$1,165
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
4440 Department of Mental Health			
State Operations	79	115	115
Local Assistance	1,088	1,042	1,050
Total Expenditures and Expenditure Adjustments	<u>\$1,167</u>	<u>\$1,157</u>	<u>\$1,165</u>
FUND BALANCE	\$77	\$34	-
Reserve for economic uncertainties	77	34	-
3085 Mental Health Services Fund ^s			
BEGINNING BALANCE	\$1,432,687	\$2,495,551	\$2,550,287
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
114700 Personal Income Tax	1,375,000	1,493,000	1,565,000
150300 Income From Surplus Money Investments	58,387	94,646	94,646
Total Revenues, Transfers, and Other Adjustments	<u>\$1,433,387</u>	<u>\$1,587,646</u>	<u>\$1,659,646</u>
Total Resources	\$2,866,074	\$4,083,197	\$4,209,933
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	-	-	431
0840 State Controller (State Operations)	43	49	42
1110 Department of Consumer Affairs Regulatory Boards (State Operations)	-	107	299
4170 Department of Aging (State Operations)	-	95	95
4200 Department of Alcohol and Drug Programs (State Operations)	258	517	507

* Dollars in thousands, except in Salary Range.

4440 Department of Mental Health - Continued

	2006-07*	2007-08*	2008-09*
4260 Department of Health Care Services (State Operations)	70	581	795
4280 Managed Risk Medical Insurance Board (State Operations)	89	158	179
4300 Department of Developmental Services			
State Operations	-	-	378
Local Assistance	-	-	740
4440 Department of Mental Health			
State Operations	16,928	36,255	33,755
Local Assistance	352,073	1,493,400	1,493,400
5160 Department of Rehabilitation (State Operations)	76	214	209
5180 Department of Social Services (State Operations)	394	803	767
6110 Department of Education (State Operations)	592	731	707
Total Expenditures and Expenditure Adjustments	<u>\$370,523</u>	<u>\$1,532,910</u>	<u>\$1,532,304</u>
FUND BALANCE	\$2,495,551	\$2,550,287	\$2,677,629
Reserve for economic uncertainties	2,495,551	2,550,287	2,677,629
3099 Licensing and Certification Fund, Mental Health^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	<u>\$357</u>	<u>\$357</u>	<u>\$392</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$357</u>	<u>\$357</u>	<u>\$392</u>
Total Resources	\$357	\$357	\$392
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	-	1	-
4440 Department of Mental Health (State Operations)	<u>357</u>	<u>356</u>	<u>392</u>
Total Expenditures and Expenditure Adjustments	<u>\$357</u>	<u>\$357</u>	<u>\$392</u>
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2006-07	2007-08	2008-09	2006-07*	2007-08*	2008-09*
Headquarters						
Totals, Authorized Positions	386.2	576.6	576.4	\$24,501	\$34,642	\$35,463
Salary Adjustments	-	-	-	-	974	1,001
Proposed New Positions:				Salary Range		
SB 785						
Staff Mental Hlth Spec	-	-	1.0	4,833-5,874	-	64
Assoc Mental Hlth Spec (1.0 LT pos exp 12-31-09)	-	-	1.0	4,440-5,348	-	59
Total SB 785	-	-	2.0	\$-	\$-	\$123
CRIPA:						
Office of Legal Services:						
Staff Counsel III-Spec (1.0 LT pos exp 6-30-10)	-	-	1.0	7,682-9,478	-	103
Enhancement Compliance Unit:						
Sr Psychiatrist	-	-	1.0	16,931-22,377	-	236
Supvng Spec Investigator II	-	-	1.0	6,058-7,313	-	80
Nursing Consultant, Prog Rev	-	-	1.0	5,882-10,088	-	101
Training Ofcr III	-	-	1.0	5,576-6,727	-	74
Consulting Psychologist	-	-	1.0	5,548-7,649	-	79
Clinical Soc Wkr-HF	-	-	1.0	5,286-6,889	-	73

* Dollars in thousands, except in Salary Range.

4440 Department of Mental Health - Continued

	Positions			Expenditures		
	2006-07	2007-08	2008-09	2006-07*	2007-08*	2008-09*
Sr. Spec Investigator	-	-	1.0	4,888-5,899	-	65
Research Analyst II (Soc/behaviorial)	-	-	2.0	4,619-5,616	-	123
Rehab Therapist-Recr	-	-	1.0	4,598-6,064	-	64
Information Technology:						
Sr Prog Analyst-Spec	-	-	4.0	5,571-7,109	-	304
Staff Prog Analyst-Spec	-	-	1.0	5,065-6,466	-	70
Assoc Prog Analyst-Spec	-	-	2.0	4,619-5,897	-	126
Total, CRIPA	-	-	18.0	\$-	\$-	\$1,498
Totals, Proposed New Positions	-	-	20.0	\$-	\$-	\$1,621
Total Adjustments	-	-	20.0	\$-	\$974	\$2,622
TOTALS, SALARIES AND WAGES (HEADQUARTERS)	386.2	576.6	596.4	\$24,501	\$35,616	\$38,085
State Hospitals						
Totals, Authorized Positions	8,553.1	11,216.0	11,494.9	\$567,637	\$673,549	\$699,835
Salary Adjustments	-	-	-	-	124,110	137,788
Workload and Administrative Adjustments:						
Population Adjustment Current Year:						
ATASCADERO STATE HOSPITAL						
Level-of-Care Professional:						
Staff Psychiatrist (-5.2 pos eff 1-1-08)	-	-2.6	-5.2	9,126-12,863	-409	-817
Psychologist (-5.7 pos eff 1-1-08)	-	-2.8	-5.7	4,813-6,635	-192	-392
Teacher (-3.5 pos eff 1-1-08)	-	-1.8	-3.5	3,825-6,147	-108	-209
Clinical Soc Worker (-5.7 pos eff 1-1-08)	-	-2.9	-5.7	3,554-4,430	-160	-314
Rehab Therapist (-5.6 pos eff 1-1-08)	-	-2.8	-5.6	3,094-3,852	-123	-247
Level-of-Care Nursing:						
Registered Nurse (-27.6 pos eff 1-1-08)	-	-13.8	-27.6	4,654-6,804	-1,149	-2,298
Psych Techn (-56.0 pos eff 1-1-08)	-	-28.0	-56.0	3,033-3,987	-1,280	-2,560
METROPOLITAN STATE HOSPITAL						
Level-of-Care Professional:						
Staff Psychiatrist (-2.8 pos eff 1-1-08)	-	-1.4	-2.8	9,126-12,863	-213	-427
Psychologist (-3.8 pos eff 1-1-08)	-	-1.9	-3.8	4,813-6,635	-127	-261
Teacher (-1.6 pos eff 1-1-08)	-	-0.8	-1.6	3,825-6,147	-48	-96
Clinical Soc Worker (-4.0 pos eff 1-1-08)	-	-2.0	-4.0	3,554-4,430	-110	-220
Rehab Therapist (-4.0 pos eff 1-1-08)	-	-2.0	-4.0	3,094-3,852	-88	-176
Level-of-Care Nursing:						
Registered Nurse (-17.9 pos eff 1-1-08)	-	-9.0	-17.9	4,654-6,804	-750	-1,491
Psych Techn (-34.9 pos eff 1-1-08)	-	-17.5	-34.9	3,033-3,987	-798	-1,596
Total, Current Year Population Adjustment	-	-89.3	-178.3	\$-	-\$5,555	-\$11,104
Population Adjustment Budget Year:						
ATASCADERO STATE HOSPITAL						
Level-of-Care Professional:						
Staff Psychiatrist (7.7 pos eff 1-1-09)	-	-	3.9	9,126-12,863	-	597
Psychologist (6.8 pos eff 1-1-09)	-	-	3.4	4,813-6,635	-	234
Teacher (4.2 pos eff 1-1-09)	-	-	2.1	3,825-6,147	-	126
Clinical Soc Worker (6.8 pos eff 1-1-09)	-	-	3.4	3,554-4,430	-	187
Rehab Therapist (6.8 pos eff 1-1-09)	-	-	3.4	3,094-3,852	-	150
Level-of-Care Nursing:						
Registered Nurse (33.0 pos eff 1-1-09)	-	-	16.5	4,654-6,804	-	1,374

* Dollars in thousands, except in Salary Range.

4440 Department of Mental Health - Continued

	Positions			Expenditures		
	2006-07	2007-08	2008-09	2006-07*	2007-08*	2008-09*
Psych Techn (67.0 pos eff 1-1-09)	-	-	33.5	3,033-3,987	-	1,532
COALINGA STATE HOSPITAL						
Level-of-Care Professional:						
Staff Psychiatrist (15.2 pos eff 1-1-09)	-	-	7.6	9,126-12,863	-	1,447
Psychologist (18.9 pos eff 1-1-09)	-	-	9.5	4,813-6,635	-	802
Teacher (5.9 pos eff 1-1-09)	-	-	3.0	3,825-6,147	-	179
Clinical Soc Worker (23.8 pos eff 1-1-09)	-	-	11.9	3,554-4,430	-	750
Rehab Therapist (18.0 pos eff 1-1-09)	-	-	9.0	3,094-3,852	-	438
Level-of-Care Nursing:						
Registered Nurse (139.3 pos eff 1-1-09)	-	-	69.7	4,654-6,804	-	6,704
Psych Techn (134.0 pos eff 1-1-09)	-	-	67.0	3,033-3,987	-	3,447
METROPOLITAN STATE HOSPITAL						
Level-of-Care Professional:						
Psychologist (-0.1 pos eff 1-1-09)	-	-	-0.1	4,813-6,635	-	-3
Clinical Soc Worker (-0.1 pos eff 1-1-09)	-	-	-0.1	3,554-4,430	-	-3
Total, Budget Year Population Adjustment	-	-	243.7	\$-	\$-	\$17,961
TOTALS Workload and Administrative Adjustments	-	-89.3	65.4	\$-	-\$5,555	\$6,857
Proposed New Positions						
Continue Activation of Coalinga State Hospital:						
Nursing Coordinator	-	-	1.0	5,303-6,879	-	79
Assoc Info Systems Analyst-Supvr	-	-	1.0	4,850-6,192	-	66
Staff Mental Hlth Spec	-	-	1.0	4,833-5,784	-	64
Assoc Mental Hlth Spec	-	-	1.0	4,400-5,348	-	60
Assoc Govtl Prog Analyst	-	-	1.0	4,400-5,348	-	58
Hosp Police Lieut	-	-	0.6	4,154-5,004	-	42
Clinical Lab Technologist	-	-	1.0	4,016-5,360	-	64
Communication Supvr	-	-	1.0	3,871-5,174	-	54
Hosp Police Sgt	-	-	4.3	3,788-4,588	-	276
Hosp Police Ofcr	-	-	54.0	3,544-4,152	-	3,210
Voc Instructor	-	-	1.0	3,506-4,009	-	45
Info Sys Techn Spec I	-	-	2.0	3,495-4,460	-	95
Supvng Cook II	-	-	1.0	3,297-4,009	-	44
Pers Techn Spec II	-	-	1.0	3,262-5,161	-	51
Info Sys Techn Spec	-	-	1.0	3,108-6,468	-	57
Communication Opr	-	-	3.0	3,016-4,562	-	136
Corr Case Recds Analyst	-	-	1.0	2,817-4,446	-	44
Staff Svcs Analyst	-	-	5.0	2,817-4,446	-	218
Ofc Techn-Typing	-	-	1.0	2,686-3,264	-	36
Supvng Housekeeper I	-	-	1.0	2,254-2,739	-	30
Food Svc Tech II	-	-	12.0	2,215-2,693	-	353
Custodian	-	-	2.0	2,098-2,549	-	56
Food Svc Worker I	-	-	28.0	2,065-2,507	-	768
Total, Continue Activation of Coalinga State Hospital	-	-	124.9	\$-	\$-	\$5,906
METROPOLITAN SCHOOL CLOSURE						
Level-of-Care Professional:						
Teacher	-	-	-1.0	3,825-6,147	-	-60
Teacher Asst	-	-	-8.0	2,312-2,810	-	-246

* Dollars in thousands, except in Salary Range.

4440 Department of Mental Health - Continued

	Positions			Expenditures		
	2006-07	2007-08	2008-09	2006-07*	2007-08*	2008-09*
Total, Metropolitan School Closure	-	-	-9.0	\$-	\$-	-\$306
CRIPA-State Hospitals:						
Training Ofcr I (5.0 LT pos exp 6-30-10)	-	-	5.0	4,400-5,348	-	292
Research Analyst I	-	-	5.0	3,106-4,670	-	233
Total, CRIPA State Hospitals	-	-	10.0	\$-	\$-	\$525
Totals, Proposed New Positions	-	-	125.9	\$-	\$-	\$6,125
Total Adjustments	-	-89.3	191.3	\$-	\$118,555	\$150,770
TOTALS, SALARIES AND WAGES (STATE HOSPITALS)	8,553.1	11,126.7	11,686.2	\$567,637	\$792,104	\$850,605
TOTALS, DEPARTMENT OF MENTAL HEALTH	8,939.3	11,703.3	12,282.6	\$592,138	\$827,720	\$888,690

INFRASTRUCTURE OVERVIEW

The Department of Mental Health operates five state hospitals throughout California including: Atascadero State Hospital (San Luis Obispo County), Coalinga State Hospital (Fresno County), Metropolitan State Hospital (Los Angeles County), Napa State Hospital (Napa County), and Patton State Hospital (San Bernardino County). These five facilities comprise over 5.9 million gross square feet of space on 2,600 acres of land. Each state hospital provides inpatient treatment services for California's mentally disabled.

SUMMARY OF PROJECTS

State Building Program Expenditures		2006-07*	2007-08*	2008-09*
55	CAPITAL OUTLAY			
	Major Projects			
55.18	ATASCADERO STATE HOSPITAL	\$-	\$6,798	\$-
55.18.246	250-Bed Addition Remediation	-	6,598 ^{Cn}	-
55.18.265	Kitchen Study	-	200 ^{Sg}	-
55.35	METROPOLITAN STATE HOSPITAL	\$-	\$27,832	\$-
55.35.295	Construct New Kitchen and Remodel Satellite Serving Kitchens	-	27,832 ^{Cgn}	-
55.40	NAPA STATE HOSPITAL	\$2,005	\$-	\$3,468
55.40.280	Construct New Kitchen and Remodel Satellite Serving Kitchens and Dining Rooms	2,005 ^{Pgn}	-	3,468 ^{Wgn}
55.45	PATTON STATE HOSPITAL	\$1,598	\$-	\$3,399
55.45.295	Construct New Kitchen and Remodel Satellite Serving Kitchens and Dining Rooms	1,598 ^{Pgn}	-	3,399 ^{Wgn}
	Totals, Major Projects	\$3,603	\$34,630	\$6,867
	Minor Projects			
55.10.205	Minor Projects	-	475 ^{PWCg}	103 ^{PWCg}
	Totals, Minor Projects	\$-	\$475	\$103
TOTALS, EXPENDITURES, ALL PROJECTS		\$3,603	\$35,105	\$6,970

FUNDING	2006-07*	2007-08*	2008-09*
0001 General Fund	\$947	\$6,522	\$1,868
0660 Public Buildings Construction Fund	2,656	28,583	5,102
TOTALS, EXPENDITURES, ALL FUNDS	\$3,603	\$35,105	\$6,970

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

3 CAPITAL OUTLAY	2006-07*	2007-08*	2008-09*
0001 General Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$947	\$3,331	\$1,868

* Dollars in thousands, except in Salary Range.

4440 Department of Mental Health - Continued

3 CAPITAL OUTLAY	2006-07*	2007-08*	2008-09*
Reversion per Government Code Sections 16351, 16351.5 and 16408	-	-867	-
Prior year balances available:			
Item 4440-301-0001, Budget Act of 2005, as reappropriated by Item 4440-490, Budget Act of 2006	5,282	5,282	-
Totals Available	\$6,229	\$7,746	\$1,868
Unexpended balance, estimated savings	-	-1,224	-
Balance available in subsequent years	<u>-5,282</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$947	\$6,522	\$1,868
0660 Public Buildings Construction Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$41,682	\$14,096	\$71,052
Reversion per Government Code Sections 16351, 16351.5 and 16408	-	-2,657	-
Augmentation per Government Code Sections 16352, 16409 and 16354	726	-	-
Prior year balances available:			
Item 4440-301-0660, Budget Act of 2003, as reappropriated by Item 4440-491, Budget Act of 2004	721	-	-
Reversion per Government Code Sections 16351, 16351.5 and 16408	-721	-	-
Item 4440-301-0660, Budget Act of 2005, as reappropriated by Item 4440-490, Budget Act of 2006 and Item 4440-491, Budget Act of 2007	46,126	46,126	28,982
Item 4440-301-0660, Budget Act of 2006	-	<u>39,752</u>	<u>-</u>
Totals Available	\$88,534	\$97,317	\$100,034
Unexpended balance, estimated savings	-	-39,752	-
Balance available in subsequent years	<u>-85,878</u>	<u>-28,982</u>	<u>-94,932</u>
TOTALS, EXPENDITURES	\$2,656	\$28,583	\$5,102
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$3,603	\$35,105	\$6,970

* Dollars in thousands, except in Salary Range.